Case 1:13-md-02419-RWZ Document 2643-2 Filed 02/10/16 Page 1 of 51

Case 1:13-cv-12580-RWZ Document 28-3 Filed 11/04/15 Page 1 of 51

EXHIBIT A2 -COLLINS AMENDED COMPLAINT

STATE OF TENNESSEE)
)
COUNTY OF DAVIDSON)

AFFIDAVIT OF MEGAN KILLION

COMES NOW the affiant, **MEGAN KILLION**, who, having first been duly sworn, states that the following statements are true:

- 1. All of the statements contained in this Affidavit are true and correct and made on the basis of my personal knowledge. I am an adult citizen of the State of Tennessee, over the age of 18 years, and am competent to make the statements contained in this Affidavit. I am an attorney employed by Branstetter, Stranch and Jennings, PLLC, located in Nashville, Tennessee.
- 2. On March 24, 2015, I mailed by certified mail, return receipt requested, a Notice letter and enclosures to Jean Atkinson, R.N at her most recent known business address (Cumberland Medical Center, 421 South Main Street, Crossville, TN 38555). I obtained certificates of mailing from the United States Postal Service stamped with the date of mailing as required by T.C.A. § 29-26-121(a). I attach as Exhibit 1 a copy of the Notice letter sent to Jean Atkinson, R.N. along with copies of the enclosures to the letter which include a list of the names and address of all healthcare providers who were served Notice pursuant to T.C.A. § 29-26-121, a HTPAA compliant medical authorization permitting Jean Atkinson, R.N. to obtain complete medical records from each other provider being sent a Notice, and a copy of the Certificate of Mailing from the United States Postal Service stamped with the date of mailing of the Notice and enclosures.
- 3. On March 24, 2015, I mailed by certified mail, return receipt requested, a Notice letter and enclosures to Calisher & Associates, Inc. at the company's Tennessee registered agent's address (c/o Paracorp Incorporated, Ste B, 992 Davidson Drive, Nashville, TN 37205)

Case 1:13-md-02419-RWZ Document 2643-2 Filed 02/10/16 Page 2 of 51

EXHIBIT A2 -COLLINS AMENDED COMPLAINT

and the company's Principal Address (Ste 115, 555 Corporate Drive, Ladera Ranch, CA 92694). I obtained certificates of mailing from the United States Postal Service stamped with the date of mailing as required by T.C.A. § 29-26-121(a). I attach as Exhibit 2 a copy of the Notice letter sent to Calisher & Associates, Inc. along with copies of the enclosures to the letter which include a list of the names and address of all healthcare providers who were served Notice pursuant to T.C.A. § 29-26-121, a HIPAA compliant medical authorization permitting Calisher & Associates, Inc. to obtain complete medical records from each other provider being sent a Notice, and a copy of the Certificate of Mailing from the United States Postal Service stamped with the date of mailing of the Notice and enclosures.

- 4. On March 24, 2015, I mailed by certified mail, return receipt requested, a Notice letter and enclosures to Specialty Surgery Center to Specialty Surgery Center's attorney's address (c/o Chris Tardio, Gideon Cooper & Essary, 315 Deaderick St., Suite 1100, Nashville, TN 37238) because Specialty Surgery Center no longer operates at any physical location. I obtained certificates of mailing from the United States Postal Service stamped with the date of mailing as required by T.C.A. § 29-26-121(a). I attach as Exhibit 3 a copy of the Notice letter sent to Specialty Surgery Center along with copies of the enclosures to the letter which include a list of the names and address of all healthcare providers who were served Notice pursuant to T.C.A. § 29-26-121, a HIPAA compliant medical authorization permitting Specialty Surgery Center to obtain complete medical records from each other provider being sent a Notice, and a copy of the Certificate of Mailing from the United States Postal Service stamped with the date of mailing of the Notice and enclosures.
- 5. On April 7, 2015, I mailed by certified mail, return receipt requested, a Notice letter and enclosures to the address where Plaintiff was last treated at Specialty Surgery Center

Case 1:13-md-02419-RWZ Document 2643-2 Filed 02/10/16 Page 3 of 51

Case 1:13-cv-12580-RWZ Document 28-3 Filed 11/04/15 Page 3 of 51

EXHIBIT A2 -COLLINS AMENDED COMPLAINT

(116 Brown Avenue, Crossville, TN 38555). I obtained certificates of mailing from the United States Postal Service stamped with the date of mailing as required by T.C.A. § 29-26-121(a). I attach as Exhibit 4 a copy of the Notice letters sent to Specialty Surgery Center along with copies of the enclosures to the letter which include a list of the names and address of all healthcare providers who were served Notice pursuant to T.C.A. § 29-26-121, a HIPAA compliant medical authorization permitting Specialty Surgery Center to obtain complete medical records from each other provider being sent a Notice, and a copy of the Certificate of Mailing from the United States Postal Service stamped with the date of mailing of the Notices and

- 6. On March 24, 2015 I mailed by certified mail, return receipt requested, a Notice letter and enclosures to Dr. Kenneth R Lister, M.D. at the address listed for Dr. Lister on the Tennessee Department of Health website (Outpatient Anesthesia, 2761 Sullins Street, Knoxville TN 37919). I obtained certificates of mailing from the United States Postal Service stamped with the date of mailing as required by T.C.A. § 29-26-121(a). I attach as Exhibit 5 a copy of the Notice letters sent to Dr. Lister along with copies of the enclosures to the letter which include a list of the names and address of all healthcare providers who were served Notice pursuant to T.C.A. § 29-26-121, a HIPAA compliant medical authorization permitting Dr. Lister to obtain complete medical records from each other provider being sent a Notice, and a copy of the Certificate of Mailing from the United States Postal Service stamped with the date of mailing of the Notices and enclosures.
- 7. The letter addressed to Dr. Lister at his Sullins Street address was returned undeliverable. On April 7, 2015, I mailed by certified mail, return receipt requested, a Notice letter and enclosures to the address where Plaintiff was last treated by the provider (116 Brown Avenue, Crossville, TN 38555). I obtained certificates of mailing from the United States Postal

Case 1:13-md-02419-RWZ Document 2643-2 Filed 02/10/16 Page 4 of 51

Case 1:13-cv-12580-RWZ Document 28-3 Filed 11/04/15 Page 4 of 51

EXHIBIT A2 -COLLINS AMENDED COMPLAINT

Service stamped with the date of mailing as required by T.C.A. § 29-26-121(a). I attach as

Exhibit 6 a copy of the Notice letters sent to Dr. Lister along with copies of the enclosures to the

letter which include a list of the names and address of all healthcare providers who were served

Notice pursuant to T.C.A. § 29-26-121, a HIPAA compliant medical authorization permitting Dr.

Lister to obtain complete medical records from each other provider being sent a Notice, and a

copy of the Certificate of Mailing from the United States Postal Service stamped with the date of

mailing of the Notices and enclosures.

FURTHER AFFIANT SAITH NOT.

Megan Killion

Case 1:13-md-02419-RWZ Document 2643-2 Filed 02/10/16 Page 5 of 51

Case 1:13-cv-12580-RWZ Document 28-3 Filed 11/04/15 Page 5 of 51

EXHIBIT A2 -COLLINS AMENDED COMPLAINT

State of Tennessee)
)
County of Davidson)

Personally appeared before me, the undersigned, a Notary Public of said County and agan Kilno ory evidence, and pose therein contained.

This 3rd day of November, 2015

This 3rd day of November, 2015 State, Megan Killion, with whom I am personally acquainted or proved to me on the basis of satisfactory evidence, and who acknowledged that the foregoing was sworn to and executed for the purpose therein contained.

Notary Public

My commission expires:

Case 1:13-md-02419-RWZ Document 2643-2 Filed 02/10/16 Page 6 of 51

Case 1:13-cv-12580-RWZ Document 28-3 Filed 11/04/15 Page 6 of 51

Branstetter, Stranch & Jennings, PLLC

ATTORNEYS AT LAW 227 SECOND AVENUE NORTH FOURTH FLOOR

CECIL D. BRANSTETTER, SR., 1920-2014 R. JAN JENNINGS* JOE P. LENISKI, JR. DONALD L. SCHOLES MIKE STEWART JAMES G. STRANCH, III

J. GERARD STRANCH, IV

MICHAEL J. WALL

Nashville, Tennessee 37201-1631 Telephone (615) 254-8801 ~ facsimile (615) 250-3937 ASSOCIATES: RAQUEL L. BELLAMY KARLA M. CAMPBELL BEN GASTEL* SEAMUS T. KELLY

OF COUNSEL;
ROBERT E. RICHARDSON, JR. **

* ALSO ADMITTED IN GA
** ONLY ADMITTED IN OH

March 24, 2015

CERTIFIED MAIL, RETURN RECEIPT REQUESTED

Calisher and Associates, Inc. Paracorp Incorporated Ste B 992 Davidson Drive Nashville, TN 37205

Re: JUDY COLLINS

Notice of health care liability claim required by Tennessee Code Annotated § 29-26-121 and Insurance Carrier Notice of Claims

To: Calisher & Associates, Inc.:

Branstetter, Stranch & Jennings, PLLC; Aylstock, Witkin, Kreis & Overholtz, PLLC; Foote, Meyers, Mielke & Flowers, LLC and Sugarman, Rogers, Barshak & Cohen, P.C. are the attorneys representing Judy Collins. Through her attorney, Judy Collins is asserting potential claims for health care liability against Kenneth R. Lister, M.D., Specialty Surgery Center, PLLC, Jean Atkinson, R.N., and Calisher & Associates, Inc., including their agents, employees, physicians, nurses and pharmacists.

This potential claim arises out of care, medicines and services provided by employees and/or agents of Calisher & Associates, Inc. to Judy Collins from May 2012 through September 2012.

The full name and date of birth of the patient whose treatment is at issue:

JUDY ANN COLLINS Date of Birth: 11/17/1959

The names and address of the claimants authorizing this notice:

Judy Collins 734 Sequoia Drive Crossville, TN 38572

Case 1:13-md-02419-RWZ Document 2643-2 Filed 02/10/16 Page 7 of 51

Case 1:13-cv-12580-RWZ Document 28-3 Filed 11/04/15 Page 7 of 51

Calisher & Associates, Inc. March 24, 2015 Page 2

The name and address of the attorney sending this notice:

BENJAMIN A. GASTEL BRANSTETTER, STRANCH & JENNINGS, PLLC 227 Second Avenue North, 4th Floor Nashville, Tennessee 37201

Additionally I am writing to place Calisher & Associates, Inc. on notice of claims by Judy Collins who has suffered personal injury and has incurred medical and other expenses as a result of receiving drugs that were compounded by New England Compounding Pharmacy, Inc. d/b/a New England Compounding Center. Judy Collins hereby asserts claims for product liability, negligence, breach of warranty, and misrepresentation associated with such drugs and the treatment received. Please promptly provide a copy of this notice to all carriers who may potentially provide you with insurance coverage for these claims.

Attached hereto is a list of all healthcare providers to whom notice is being given, pursuant to Tennessee Code Annotated § 29-26-121(a)(2)(D).

Pursuant to Tennessee Code Annotated § 29-26-121(a)(2)(E), I also enclose a HIPAA compliant medical authorization permitting you to obtain complete medical records on Judy Collins, from each provider being sent a notice. If any of those providers do not accept this authorization for any reason, please contact us and we will arrange to execute an authorization acceptable to them that will permit you to obtain complete medical records on Judy Collins.

Neither this notice nor the medical authorization waives the common law physicianpatient privilege concerning the care and treatment of Judy Collins by any doctor who provided medical services for Judy Collins. We expect that you will not communicate with any person, other than your attorney, about any doctor's care and treatment of Judy Collins.

We believe this letter complies with the letter and spirit of Tennessee Code Annotated § 29-26-121. If you believe it is deficient in any way, please let us know and any defect will be promptly cured. If we do not hear from you, we will assume that you agree the letter complies with the law.

Very truly yours,

BENJAMIN A. GASTEL

Case 1:13-cv-12580-RWZ Document 28-3 Filed 11/04/15 Page 8 of 51

LIMITED AUTHORIZATION TO DISCLOSE MEDICAL INFORMATION OR RECORDS

By signing below, I hereby request and authorize the Health Care Provider identified below to disclose certain information (the "Information") as provided in this Authorization.

Information To Be Used Or Disclosed
Patient Name: Judy Collins Patient Identifier: DOB: 11/17/1959
Description of Information: Any and all medical information and records, or true and correct copies thereof, in your possession, custody or control, including, but not limited to, medical histories, records, reports, summaries, diagnosis, prognoses, records of treatment and medication ordered and/or given, entries, latters or correspondence to other physicians, electrocardiograms, x-ray films and reports, ultrasounds, diagnostic imaging studies, laboratory data and records, pathological reports, slides and specimens, prescription records, insurance records, bills or statements of account, incident reports, birth certificates, death certificates and all other written or graphic data prepared, kept, made or maintained in your possession, custody or control and summaries of injuries, treatment and prognosis, if requested, that pertain to the Patient. THIS AUTHORIZATION DOES NOT AUTHORIZE VERBAL COMMUNICATIONS WITH THE REFERENCED INDIVIDUAL OR ORGANIZATION.
Persons Or Organizations Authorized To Disclose The Information
Health Care Provider: Specialty Surgery Center, Kenneth Lister, Jean HKnow, Calishur Associates, Inc.
I authorize the Health Care Provider(s) and its employees and agents to disclose the Information as provided in this Authorization. A photostatic copy of this Authorization is to be considered as effective as the original. I understand that I am not required to sign this Authorization. The Health Care Provider will not condition treatment, payment, enrollment or eligibility for benefits on whether I sign this Authorization.
Persons or Organizations Authorized to Receive the Information ച്യോ കല്യിരുന്നു (വിരുപ്പം കടാവ്യെടും മറ്റ Specialty Surgery Center and/or Kenneth Lister, or any representative, attorney or investigator from said organization or person.
Purpose of the Requested Use or Disclosure
Legal
Expiration and Revocation of This Authorization Expiration Date or Event: I understand that I may revoke this Authorization at any time prior to the expiration date or event, but that my revocation will not have any affect on actions taken by the Health Care Provider, its employees or agents before they received my revocation. Should I desire to revoke this Authorization, I must send written notice to the Health Care Provider at the following address:
I understand that I may see and copy the Information if I ask for it. I understand that any Information released may be
subject to re-disclosure by the recipient and may no longer be protected by federal or state privacy law or regulations.
Signature (Patient) Date Signature (Authorized Representative) Date
Signature (Witness) Relationship to Patient

Case 1:13-md-02419-RWZ Document 2643-2 Filed 02/10/16 Page 9 of 51

Case 1:13-cv-12580-RWZ Document 28-3 Filed 11/04/15 Page 9 of 51

List of Healthcare Providers- Judy Collins

1. Kenneth R. Lister, M.D. Outpatient Anesthesia 2761 Sullins Street Knoxville, TN 37919

Kenneth R. Lister, M.D. c/o Chris J. Tardio Gideon Cooper & Essary 315 Deaderick St., Suite 1100 Nashville, Tennessee 37238

Location where injection received: Specialty Surgery Center, PLLC 116 Brown Avenue Crossville, TN 38555

- 2. Specialty Surgery Center, PLLC c/o Chris J. Tardio
 Gideon Cooper & Essary
 315 Deaderick St., Suite 1100
 Nashville, Tennessee 37238
- 3. Jean Atkinson, R.N. Cumberland Medical Center 421 South Main Street Crossville, TN 38555
- 4. Calisher and Associates, Inc.
 Paracorp Incorporated
 Ste B
 992 Davidson Drive
 Nashville, TN 37205

Calisher & Associates, Inc. Ste 115 555 Corporate Drive Ladera Ranch, CA 92694

Case 1:13-md-02419-RWZ Document 2643-2 Filed 02/10/16 Page 10 of 51

Case 1:13-cv-12580-RWZ Document 28-3 Filed 11/04/15 Page 10 of 51

BRANSTETTER, STRANCH & JENNINGS, PLLC

ATTORNEYS AT LAW 227 SECOND AVENUE NORTH FOURTH FLOOR

CECIL D. BRANSTETTER, SR., 1920-2014 R. JAN JENNINGS* JOE P. LENISKI, JR., DONALD L. SCHOLES MIKE STEWART JAMES G. STRANCH, III

J. GERARD STRANCH, IV

MICHAEL J. WALL

Nashville, Tennessee 37201-1631 Telephone (615) 254-8801 ~ facsimile (615) 250-3937 ASSOCIATES: RAQUEL L. BELLAMY KARLA M. CAMPBELL BEN GASTEL* SEAMUS T. KELLY

OF COUNSEL:
ROBERT E. RICHARDSON, JR. **

* ALSO ADMITTED IN GA
** ONLY ADMITTED IN OH

March 24, 2015

CERTIFIED MAIL, RETURN RECEIPT REQUESTED

Jean Atkinson, R.N. Cumberland Medical Center 421 South Main Street Crossville, TN 38555

Re: JUDY COLLINS

Notice of health care liability claim required by Tennessee Code Annotated § 29-26-121 and Insurance Carrier Notice of Claims

To: Jean Atkinson, R.N.:

Branstetter, Stranch & Jennings, PLLC; Aylstock, Witkin, Kreis & Overholtz, PLLC; Foote, Meyers, Mielke & Flowers, LLC and Sugarman, Rogers, Barshak & Cohen, P.C. are the attorneys representing Judy Collins. Through her attorney, Judy Collins is asserting potential claims for health care liability against Kenneth R. Lister, M.D., Specialty Surgery Center, PLLC, Jean Atkinson, R.N., and Calisher & Associates, Inc., including their agents, employees, physicians, nurses and pharmacists.

This potential claim arises out of care, medicines and services provided by Jean Atkinson, R.N. and/or employees and/or agents of Jean Atkinson, R.N. to Judy Collins from May 2012 through September 2012.

The full name and date of birth of the patient whose treatment is at issue:

JUDY ANN COLLINS Date of Birth: 11/17/1959

The names and address of the claimants authorizing this notice:

Judy Collins 734 Sequoia Drive Crossville, TN 38572



Case 1:13-cv-12580-RWZ Document 28-3 Filed 11/04/15 Page 11 of 51

Jean Atkinson, R.N. March 24, 2015 Page 2

The name and address of the attorney sending this notice:

BENJAMIN A. GASTEL BRANSTETTER, STRANCH & JENNINGS, PLLC 227 Second Avenue North, 4th Floor Nashville, Tennessee 37201

Additionally I am writing to place Jean Atkinson, R.N. on notice of claims by Judy Collins who has suffered personal injury and has incurred medical and other expenses as a result of receiving drugs that were compounded by New England Compounding Pharmacy, Inc. d/b/a New England Compounding Center. Judy Collins hereby asserts claims for product liability, negligence, breach of warranty, and misrepresentation associated with such drugs and the treatment received. Please promptly provide a copy of this notice to all carriers who may potentially provide you with insurance coverage for these claims.

Attached hereto is a list of all healthcare providers to whom notice is being given, pursuant to Tennessee Code Annotated § 29-26-121(a)(2)(D).

Pursuant to Tennessee Code Annotated § 29-26-121(a)(2)(E), I also enclose a HIPAA compliant medical authorization permitting you to obtain complete medical records on Judy Collins, from each provider being sent a notice. If any of those providers do not accept this authorization for any reason, please contact us and we will arrange to execute an authorization acceptable to them that will permit you to obtain complete medical records on Judy Collins.

Neither this notice nor the medical authorization waives the common law physicianpatient privilege concerning the care and treatment of Judy Collins by any doctor who provided medical services for Judy Collins. We expect that you will not communicate with any person, other than your attorney, about any doctor's care and treatment of Judy Collins.

We believe this letter complies with the letter and spirit of Tennessee Code Annotated § 29-26-121. If you believe it is deficient in any way, please let us know and any defect will be promptly cured. If we do not hear from you, we will assume that you agree the letter complies with the law.

Very truly yours,

BENJAMIN A. GASTEL

Case 1:13-cv-12580-RWZ Document 28-3 Filed 11/04/15 Page 12 of 51

LIMITED AUTHORIZATION TO DISCLOSE MEDICAL INFORMATION OR RECORDS

By signing below, I hereby request and authorize the Health Care Provider identified below to disclose certain information (the "Information") as provided in this Authorization.

·		
Information To E	3e Used Or Disclosed	
Patient Name: Judy Collins Patient Identifier: De	OB: 11/17/1959	
possession, custody or control, including, but not limited prognoses, records of treatment and medication orders physicians, electrocardiograms, x-ray films and reports, records, pathological reports, slides and specimens, praccount, incident reports, birth certificates, death certificate or maintained in your possession, custody or control and	ation and records, or true and correct copies thereof, in your to, medical histories, records, reports, summaries, diagnosis, ed and/or given, entries, letters or correspondence to other ultrasounds, diagnostic Imaging studies, laboratory data and rescription records, insurance records, bills or statements of ites and all other written or graphic data prepared, kept, made I summaries of injuries, treatment and prognosis, if requested, DES NOT AUTHORIZE VERBAL COMMUNICATIONS WITH	
Persons Or Organizations Auth	orized To Disclose The Information	
Health Care Provider. Specialty Surgery Center, Kennet	h Lister, Jean Alkinson, Calishur Associates, Inc.	
Authorization. A photostatic copy of this Authorization is t	es and agents to disclose the information as provided in this to be considered as effective as the original. I understand that Care Provider will not condition treatment, payment, enrollment on.	
Persons or Organizations Aut	horized to Receive the Information	
Specialty Surgery Center and/or Kenneth Lister, or any reperson.	িনাজন, তিনাজনেক নিজনাক্ত, নিজনাক্ত presentative, attorney or investigator from said organization or	
Purpose of the Requ	uested Use or Disclosure	
<u> </u>	gal	
	ation of This Authorization	
Expiration Date or Event: 3 3	20/15	
I understand that I may revoke this Authorization at any time prior to the expiration date or event, but that my revocation will not have any affect on actions taken by the Health Care Provider, its employees or agents before they received my revocation. Should I desire to revoke this Authorization, I must send written notice to the Health Care Provider at the following address:		
I understand that I may see and copy the Information if I ask for it. I understand that any Information released may be subject to re-disclosure by the recipient and may no longer be protected by federal or state privacy law or regulations. **Judge Collins** 7-12-13		
	Signature (Authorized Representative) Date	
Signature (Witness)	Relationship to Patient	

Case 1:13-cv-12580-RWZ Document 28-3 Filed 11/04/15 Page 13 of 51

List of Healthcare Providers- Judy Collins

1. Kenneth R. Lister, M.D. Outpatient Anesthesia 2761 Sullins Street Knoxville, TN 37919

Kenneth R. Lister, M.D. c/o Chris J. Tardio Gideon Cooper & Essary 315 Deaderick St., Suite 1100 Nashville, Tennessee 37238

Location where injection received: Specialty Surgery Center, PLLC 116 Brown Avenue Crossville, TN 38555

- 2. Specialty Surgery Center, PLLC c/o Chris J. Tardio Gideon Cooper & Essary 315 Deaderick St., Suite 1100 Nashville, Tennessee 37238
- 3. Jean Atkinson, R.N. Cumberland Medical Center 421 South Main Street Crossville, TN 38555
- 4. Calisher and Associates, Inc. Paracorp Incorporated Ste B 992 Davidson Drive Nashville, TN 37205

Calisher & Associates, Inc. Ste 115 555 Corporate Drive Ladera Ranch, CA 92694

Case 1:13-md-02419-RWZ Document 2643-2 Filed 02/10/16 Page 14 of 51

Case 1:13-cv-12580-RWZ Document 28-3 Filed 11/04/15 Page 14 of 51

Branstetter, Stranch & Jennings, PLLC

ATTORNEYS AT LAW 227 SECOND AVENUE NORTH FOURTH FLOOR

CECIL D. BRANSTETTER, SR., 1920-2014
R. JAN JENNINGS*
JOE P. LENISKI, JR.
DONALD L. SCHOLES
MIKE STEWART
JAMES C. STRANCH, III
J. GERARD STRANCH, IV

MICHAEL J. WALL

FOURTH FLOOR
NASHVILLE, TENNESSEE 37201-1631
TELEPHONE (615) 254-8801 ~ FACSIMILE (615) 250-3937

ASSOCIATES: RAQUEL L. BELLAMY KARLA M. CAMPBELL BEN GASTEL* SEAMUS T. KELLY

OF COUNSEL:
ROBERT E. RICHARDSON, JR. **

* ALSO ADMITTED IN GA ** ONLY ADMITTED IN OH

March 24, 2015

CERTIFIED MAIL, RETURN RECEIPT REQUESTED

Calisher & Associates, Inc. Ste 115 555 Corporate Drive Ladera Ranch, CA 92694

Re: JUDY COLLINS

Notice of health care liability claim required by Tennessee Code Annotated § 29-26-121 and Insurance Carrier Notice of Claims

To: Calisher & Associates, Inc.:

Branstetter, Stranch & Jennings, PLLC; Aylstock, Witkin, Kreis & Overholtz, PLLC; Foote, Meyers, Mielke & Flowers, LLC and Sugarman, Rogers, Barshak & Cohen, P.C. are the attorneys representing Judy Collins. Through her attorney, Judy Collins is asserting potential claims for health care liability against Kenneth R. Lister, M.D., Specialty Surgery Center, PLLC, Jean Atkinson, R.N., and Calisher & Associates, Inc., including their agents, employees, physicians, nurses and pharmacists.

This potential claim arises out of care, medicines and services provided by employees and/or agents of Calisher & Associates, Inc. to Judy Collins from May 2012 through September 2012.

The full name and date of birth of the patient whose treatment is at issue:

JUDY ANN COLLINS
Date of Birth: 11/17/1959

The names and address of the claimants authorizing this notice:

Judy Collins 734 Sequoia Drive Crossville, TN 38572 Case 1:13-cv-12580-RWZ Document 28-3 Filed 11/04/15 Page 15 of 51

Calisher & Associates, Inc. March 24, 2015 Page 2

The name and address of the attorney sending this notice:

BENJAMIN A. GASTEL BRANSTETTER, STRANCH & JENNINGS, PLLC 227 Second Avenue North, 4th Floor Nashville, Tennessee 37201

Additionally I am writing to place Calisher & Associates, Inc. on notice of claims by Judy Collins who has suffered personal injury and has incurred medical and other expenses as a result of receiving drugs that were compounded by New England Compounding Pharmacy, Inc. d/b/a New England Compounding Center. Judy Collins hereby asserts claims for product liability, negligence, breach of warranty, and misrepresentation associated with such drugs and the treatment received. Please promptly provide a copy of this notice to all carriers who may potentially provide you with insurance coverage for these claims.

Attached hereto is a list of all healthcare providers to whom notice is being given, pursuant to Tennessee Code Annotated § 29-26-121(a)(2)(D).

Pursuant to Tennessee Code Annotated § 29-26-121(a)(2)(E), I also enclose a HIPAA compliant medical authorization permitting you to obtain complete medical records on Judy Collins, from each provider being sent a notice. If any of those providers do not accept this authorization for any reason, please contact us and we will arrange to execute an authorization acceptable to them that will permit you to obtain complete medical records on Judy Collins.

Neither this notice nor the medical authorization waives the common law physicianpatient privilege concerning the care and treatment of Judy Collins by any doctor who provided medical services for Judy Collins. We expect that you will not communicate with any person, other than your attorney, about any doctor's care and treatment of Judy Collins.

We believe this letter complies with the letter and spirit of Tennessee Code Annotated § 29-26-121. If you believe it is deficient in any way, please let us know and any defect will be promptly cured. If we do not hear from you, we will assume that you agree the letter complies with the law.

Very truly yours,

BENJAMIN A. GASTEL

Case 1:13-cv-12580-RWZ Document 28-3 Filed 11/04/15 Page 16 of 51

LIMITED AUTHORIZATION TO DISCLOSE MEDICAL INFORMATION OR RECORDS

By signing below, I hereby request and authorize the Health Care Provider identified below to disclose certain information (the "Information") as provided in this Authorization.

	·
Information To Be	Used Or Disclosed
Patient Name: Judy Collins Patient Identifier: DOE	
Description of Information: Any and all medical information possession, custody or control, including, but not limited to prognoses, records of treatment and medication ordered physicians, electrocardiograms, x-ray films and reports, ultrecords, pathological reports, slides and specimens, presaccount, incident reports, birth certificates, death certificates or maintained in your possession, custody or control and at that pertain to the Patient. THIS AUTHORIZATION DOE THE REFERENCED INDIVIDUAL OR ORGANIZATION.	and/or given, entries, letters or correspondence to other trasounds, diagnostic imaging studies, laboratory data and cription records, insurance records, bills or statements of and all other written or graphic data prepared, kept, made amparies of injuries, treatment and prognosis, if requested,
Persons Or Organizations Author	rized To Disclose The Information
Health Care Provider. Specialty Surgery Center, Kenneth I	ister, Jean Atthon, Calisher+Associates, Inc.
I authorize the Health Care Provider(s) and its employees	and agents to disclose the Information as provided in this be considered as effective as the original. I understand that re Provider will not condition treatment, payment, enrollment
Persons or Organizations Author	prized to Receive the Information
Specialty Surgery Center and/or Kenneth Lister, or any representation.	rand to Receive the information of the Calishard Associates, In a serial organization or esentative, attorney or investigator from said organization or
	sted Use or Disclosure
	cul
Expiration Date or Event: 3 2	ion of This Authorization
I understand that I may revoke this Authorization at any time	e prior to the expiration date or event, but that my revocation to Provider, its employees or agents before they received my must send written notice to the Health Care Provider at the
I understand that I may see and copy the information if I a subject to re-disclosure by the recipient and may no longer	ask for it. I understand that any Information released may be be protected by federal or state privacy law or regulations.
Signature (Patient) Date S	ignature (Authorized Representative) Date
Signature (Witness)	telationship to Patient

Case 1:13-cv-12580-RWZ Document 28-3 Filed 11/04/15 Page 17 of 51

List of Healthcare Providers- Judy Collins

1. Kenneth R. Lister, M.D. Outpatient Anesthesia 2761 Sullins Street Knoxville, TN 37919

Kenneth R. Lister, M.D. c/o Chris J. Tardio Gideon Cooper & Essary 315 Deaderick St., Suite 1100 Nashville, Tennessee 37238

Location where injection received: Specialty Surgery Center, PLLC 116 Brown Avenue Crossville, TN 38555

- 2. Specialty Surgery Center, PLLC c/o Chris J. Tardio
 Gideon Cooper & Essary
 315 Deaderick St., Suite 1100
 Nashville, Tennessee 37238
- 3. Jean Atkinson, R.N. Cumberland Medical Center 421 South Main Street Crossville, TN 38555
- 4. Calisher and Associates, Inc. Paracorp Incorporated Ste B 992 Davidson Drive Nashville, TN 37205

Calisher & Associates, Inc. Ste 115 555 Corporate Drive Ladera Ranch, CA 92694

Case 1:13-md-02419-RWZ Document 2643-2 Filed 02/10/16 Page 18 of 51

Case 1:13-cv-12580-RWZ Document 28-3 Filed 11/04/15 Page 18 of 51

BRANSTETTER, STRANCH & JENNINGS, PLLC

ATTORNEYS AT LAW 227 SECOND AVENUE NORTH FOURTH FLOOR

CECIL D. BRANSTETTER, SR., 1920-2014
R. JAN JENNINGS*
JOE P. LENISKI, JR.
DONALD L. SCHOLES
MIKE STEWART
JAMES G. STRANCH, III
J. GERARD STRANCH, IV

MICHAEL J. WALL

FOURTH FLOOR
NASHVILLE, TENNESSEE 37201-1631
TELEPHONE (615) 254-8801 ~ FACSIMILE (615) 250-3937

ASSOCIATES: RAQUEL L. BELLAMY KARLA M. CAMPBELL BEN GASTEL* SEAMUS T. KELLY

OF COUNSEL:
ROBERT E. RICHARDSON, JR. **

* ALSO ADMITTED IN GA
** ONLY ADMITTED IN OH

March 24, 2015

CERTIFIED MAIL, RETURN RECEIPT REQUESTED

Specialty Surgery Center, PLLC c/o Chris J. Tardio
Gideon Cooper & Essary
315 Deaderick St., Suite 1100
Nashville, Tennessee 37238

Re: JUDY COLLINS

Notice of health care liability claim required by Tennessee Code Annotated § 29-26-121 and Insurance Carrier Notice of Claims

To: Specialty Surgery Center, PLLC:

Branstetter, Stranch & Jennings, PLLC; Aylstock, Witkin, Kreis & Overholtz, PLLC; Foote, Meyers, Mielke & Flowers, LLC and Sugarman, Rogers, Barshak & Cohen, P.C. are the attorneys representing Judy Collins. Through her attorney, Judy Collins is asserting potential claims for health care liability against Kenneth R. Lister, M.D., Specialty Surgery Center, PLLC, Jean Atkinson, R.N. and Calisher & Associates, Inc., including their agents, employees, physicians, nurses and pharmacists.

This potential claim arises out of care, medicines and services provided by employees and/or agents of Specialty Surgery Center, PLLC to Judy Collins from May 2012 through September 2012.

The full name and date of birth of the patient whose treatment is at issue:

JUDY COLLINS Date of Birth: 11/17/1959

The names and address of the claimants authorizing this notice:

Judy Collins 734 Sequoia Drive Crossville, TN 38572 Case 1:13-cv-12580-RWZ Document 28-3 Filed 11/04/15 Page 19 of 51

Specialty Surgery Center, PLLC March 24, 2015 Page 2

The name and address of the attorney sending this notice:

BENJAMIN A. GASTEL BRANSTETTER, STRANCH & JENNINGS, PLLC 227 Second Avenue North, 4th Floor Nashville, Tennessee 37201

Additionally I am writing to place Specialty Surgery Center, PLLC on notice of claims by Judy Collins who has suffered personal injury and has incurred medical and other expenses as a result of receiving drugs that were compounded by New England Compounding Pharmacy, Inc. d/b/a New England Compounding Center. Judy Collins hereby asserts claims for product liability, negligence, breach of warranty, and misrepresentation associated with such drugs and the treatment received. Please promptly provide a copy of this notice to all carriers who may potentially provide you with insurance coverage for these claims.

Attached hereto is a list of all healthcare providers to whom notice is being given, pursuant to Tennessee Code Annotated § 29-26-121(a)(2)(D).

Pursuant to Tennessee Code Annotated § 29-26-121(a)(2)(E), I also enclose a HIPAA compliant medical authorization permitting you to obtain complete medical records on Judy Collins, from each provider being sent a notice. If any of those providers do not accept this authorization for any reason, please contact us and we will arrange to execute an authorization acceptable to them that will permit you to obtain complete medical records on Judy Collins.

Neither this notice nor the medical authorization waives the common law physicianpatient privilege concerning the care and treatment of Judy Collins by any doctor who provided medical services for Judy Collins. We expect that you will not communicate with any person, other than your attorney, about any doctor's care and treatment of Judy Collins.

We believe this letter complies with the letter and spirit of Tennessee Code Annotated § 29-26-121. If you believe it is deficient in any way, please let us know and any defect will be promptly cured. If we do not hear from you, we will assume that you agree the letter complies with the law.

Case 1:13-md-02419-RWZ Document 2643-2 Filed 02/10/16 Page 20 of 51

Case 1:13-cv-12580-RWZ Document 28-3 Filed 11/04/15 Page 20 of 51

Specialty Surgery Center, PLLC March 24, 2015 Page 3

Very truly yours,

BENJAMIN A. GASTEL

Case 1:13-cv-12580-RWZ Document 28-3 Filed 11/04/15 Page 21 of 51

LIMITED AUTHORIZATION TO DISCLOSE MEDICAL INFORMATION OR RECORDS

By signing below, I hereby request and authorize the Health Care Provider identified below to disclose certain information (the "Information") as provided in this Authorization. Information To Be Used Or Disclosed Patient Identifier: DOB: 11/17/1959 Patient Name: Judy Collins Description of Information: Any and all medical information and records, or true and correct copies thereof, in your possession, custody or control, including, but not limited to, medical histories, records, reports, summaries, diagnosis, prognoses, records of treatment and medication ordered and/or given, entries, letters or correspondence to other physicians, electrocardiograms, x-ray films and reports, ultrasounds, diagnostic imaging studies, laboratory data and records, pathological reports, slides and specimens, prescription records, insurance records, bills or statements of account, incident reports, birth certificates, death certificates and all other written or graphic data prepared, kept, made or maintained in your possession, custody or control and summaries of injuries, treatment and prognosis, if requested, that pertain to the Patient. THIS AUTHORIZATION DOES NOT AUTHORIZE VERBAL COMMUNICATIONS WITH THE REFERENCED INDIVIDUAL OR ORGANIZATION. Persons Or Organizations Authorized To Disclose The Information Health Care Provider. Specialty Surgery Center, Kenneth Lister, Jean MKnsm. Calishur Associates, Inc. I authorize the Health Care Provider(s) and its employees and agents to disclose the Information as provided in this Authorization. A photostatic copy of this Authorization is to be considered as effective as the original. I understand that I am not required to sign this Authorization. The Health Care Provider will not condition treatment, payment, enrollment or eligibility for benefits on whether I sign this Authorization. Persons or Organizations Authorized to Receive the Information

Jan Attorne, California Pescular To from said organization or

Specialty Surgery Center and/or Kenneth Lister, or any representative, afterney or investigator from said organization or Purpose of the Requested Use or Disclosure **Expiration and Revocation of This Authorization** 3/20/15 Expiration Date or Event: __ I understand that I may revoke this Authorization at any time prior to the expiration date or event, but that my revocation will not have any affect on actions taken by the Health Care Provider, its employees or agents before they received my revocation. Should I desire to revoke this Authorization, I must send written notice to the Health Care Provider at the following address: I understand that I may see and copy the Information if I ask for it. I understand that any information released may be subject to re-disclosure by the recipient and may no longer be protected by federal or state privacy law or regulations. <u>7-/2-/3</u> Date Signature (Authorized Representative) Date Signature (Patient)

Relationship to Patient

Signature (Witness)

Case 1:13-cv-12580-RWZ Document 28-3 Filed 11/04/15 Page 22 of 51

List of Healthcare Providers- Judy Collins

1. Kenneth R. Lister, M.D. Outpatient Anesthesia 2761 Sullins Street Knoxville, TN 37919

Kenneth R. Lister, M.D. c/o Chris J. Tardio Gideon Cooper & Essary 315 Deaderick St., Suite 1100 Nashville, Tennessee 37238

Location where injection received: Specialty Surgery Center, PLLC 116 Brown Avenue Crossville, TN 38555

- 2. Specialty Surgery Center, PLLC c/o Chris J. Tardio Gideon Cooper & Essary 315 Deaderick St., Suite 1100 Nashville, Tennessee 37238
- 3. Jean Atkinson, R.N. Cumberland Medical Center 421 South Main Street Crossville, TN 38555
- 4. Calisher and Associates, Inc. Paracorp Incorporated Ste B 992 Davidson Drive Nashville, TN 37205

Callsher & Associates, Inc. Ste 115 555 Corporate Drive Ladera Ranch, CA 92694

Case 1:13-md-02419-RWZ Document 2643-2 Filed 02/10/16 Page 23 of 51

Case 1:13-cv-12580-RWZ Document 28-3 Filed 11/04/15 Page 23 of 51

Branstetter, Stranch & Jennings, PLLC

ATTORNEYS AT LAW

227 SECOND AVENUE NORTH

FOURTH FLOOR

NASHVILLE, TENNESSEE 37201-1631

TELEPHONE (615) 254-8801 ~ FACSIMILE (615) 250-3937

CECIL D. BRANSTETTER, SR., 1920-2014
R. JAN JENNINGS*
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JAMES G. STRANCH, III
J. GERARD STRANCH, IV

MICHAEL J. WALL

ASSOCIATES; RAQUEL L. BELLAMY KARLA M. CAMPBELL BEN GASTEL* SEAMUS T. KELLY

OF COUNSEL:
ROBERT E. RICHARDSON, JR. **

* ALSO ADMITTED IN GA
** ONLY ADMITTED IN OH

March 24, 2015

CERTIFIED MAIL, RETURN RECEIPT REQUESTED

Kenneth R Lister, M.D. Outpatient Anesthesia 2761 Sullins Street Knoxyille: TN 37919

Kenneth R. Lister, M.D. c/o Chris J. Tardio Gideon Cooper & Essary 315 Deaderick St., Suite 1100 Nashville, Tennessee 37238

Re: JUDY COLLINS

Notice of health care liability claim required by Tennessee Code Annotated § 29-26-121 and Insurance Carrier Notice of Claims

To: Kenneth R. Lister M.D:

Branstetter, Stranch & Jennings, PLLC; Aylstock, Witkin, Kreis & Overholtz, PLLC; Foote, Meyers, Mielke & Flowers, LLC and Sugarman, Rogers, Barshak & Cohen, P.C. are the attorneys representing Judy Collins. Through her attorney, Judy Collins is asserting potential claims for health care liability against Kenneth R. Lister, M.D., Specialty Surgery Center, PLLC, Jean Atkinson, R.N. and Calisher & Associates, Inc., including their agents, employees, physicians, nurses and pharmacists.

This potential claim arises out of care, medicines and services provided by Kenneth R. Lister M.D. and/or employees and/or agents of Kenneth R. Lister, M.D. to Judy Collins from May 2012 through September 2012.

The full name and date of birth of the patient whose treatment is at issue:

JUDY COLLINS

Date of Birth: 11/17/1959

Case 1:13-md-02419-RWZ Document 2643-2 Filed 02/10/16 Page 24 of 51

Case 1:13-cv-12580-RWZ Document 28-3 Filed 11/04/15 Page 24 of 51

Kenneth R. Lister M.D March 24, 2015 Page 2

The names and address of the claimants authorizing this notice:

Judy Collins 734 Sequoia Drive Crossville, TN 38572

The name and address of the attorney sending this notice:

BENJAMIN A. GASTEL BRANSTETTER, STRANCH & JENNINGS, PLLC 227 Second Avenue North, 4th Floor Nashville, Tennessee 37201

Additionally I am writing to place Kenneth R. Lister M.D. on notice of claims by Judy Collins who has suffered personal injury and has incurred medical and other expenses as a result of receiving drugs that were compounded by New England Compounding Pharmacy, Inc. d/b/a New England Compounding Center. Judy Collins hereby asserts claims for product liability, negligence, breach of warranty, and misrepresentation associated with such drugs and the treatment received. Please promptly provide a copy of this notice to all carriers who may potentially provide you with insurance coverage for these claims.

Attached hereto is a list of all healthcare providers to whom notice is being given, pursuant to Tennessee Code Annotated § 29-26-121(a)(2)(D).

Pursuant to Tennessee Code Annotated § 29-26-121(a)(2)(E), I also enclose a HIPAA compliant medical authorization permitting you to obtain complete medical records on Judy Collins, from each provider being sent a notice. If any of those providers do not accept this authorization for any reason, please contact us and we will arrange to execute an authorization acceptable to them that will permit you to obtain complete medical records on Judy Collins.

Neither this notice nor the medical authorization waives the common law physicianpatient privilege concerning the care and treatment of Judy Collins by any doctor who provided medical services for Judy Collins. We expect that you will not communicate with any person, other than your attorney, about any doctor's care and treatment of Judy Collins.

We believe this letter complies with the letter and spirit of Tennessee Code Annotated § 29-26-121. If you believe it is deficient in any way, please let us know and any defect will be promptly cured. If we do not hear from you, we will assume that you agree the letter complies with the law.

Case 1:13-md-02419-RWZ Document 2643-2 Filed 02/10/16 Page 25 of 51

Case 1:13-cv-12580-RWZ Document 28-3 Filed 11/04/15 Page 25 of 51

Kenneth R. Lister M.D March 24, 2015 Page 3

Very truly yours,

BENJAMIN A. GASTEL

Case 1:13-cv-12580-RWZ Document 28-3 Filed 11/04/15 Page 26 of 51

LIMITED AUTHORIZATION TO DISCLOSE MEDICAL INFORMATION OR RECORDS

By signing below, I hereby request and authorize the Health Care Provider identified below to disclose certain information (the "Information") as provided in this Authorization.

Information To Be Used Or Disclosed		
Patient Name: Judy Collins Patient Identifier:	DOB: 11/17/1959	
possession, custody or control, including, but not limite prognoses, records of treatment and medication order physicians, electrocardiograms, x-ray films and report records, pathological reports, slides and specimens, account, incident reports, birth certificates, death certification maintained in your possession, custody or control as	mation and records, or true and correct copies thereof, in your ed to, medical histories, records, reports, summaries, diagnosis, ered and/or given, entries, letters or correspondence to other s, ultrasounds, diagnostic imaging studies, laboratory data and prescription records, insurance records, bills or statements of cates and all other written or graphic data prepared, kept, made nd summaries of injuries, treatment and prognosis, if requested, DOES NOT AUTHORIZE VERBAL COMMUNICATIONS WITH	
Persons Or Organizations Au	thorized To Disclose The Information	
Health Care Provider. Specialty Surgery Center, Kenn	eth Lister, Jean Atonson, Calishart Associates, Inc.	
I authorize the Health Care Provider(s) and its employ Authorization. A photostatic copy of this Authorization i	yees and agents to disclose the Information as provided in this is to be considered as effective as the original. I understand that a Care Provider will not condition treatment, payment, enrollment	
Persons or Organizations A Specialty Surgery Center and/or Kenneth Lister, or any person.	uthorized to Receive the Information Attivism, Calishurd Associates, In a representative, attorney or investigator from said organization or	
Purpose of the Re	quested Use or Disclosure	
	Legal	
Expiration and Revocation of This Authorization Expiration Date or Event: Understand that I may revoke this Authorization at any time prior to the expiration date or event, but that my revocation will not have any affect on actions taken by the Health Care Provider, its employees or agents before they received my revocation. Should I desire to revoke this Authorization, I must send written notice to the Health Care Provider at the following address:		
I understand that I may see and copy the Information if I ask for it. I understand that any Information released may be subject to re-disclosure by the recipient and may no longer be protected by federal or state privacy law or regulations.		
Signature (Patient) Date	Signature (Authorized Representative) Date	
Signature (Witness)	Relationship to Patient	

Case 1:13-cv-12580-RWZ Document 28-3 Filed 11/04/15 Page 27 of 51

List of Healthcare Providers- Judy Collins

1. Kenneth R. Lister, M.D. Outpatient Anesthesia 2761 Sullins Street Knoxville, TN 37919

Kenneth R. Lister, M.D. c/o Chris J. Tardio Gideon Cooper & Essary 315 Deaderick St., Suite 1100 Nashville, Tennessee 37238

Location where injection received: Specialty Surgery Center, PLLC 116 Brown Avenue Crossville, TN 38555

- 2. Specialty Surgery Center, PLLC c/o Chris J. Tardio Gideon Cooper & Essary 315 Deaderick St., Suite 1100 Nashville, Tennessee 37238
- 3. Jean Atkinson, R.N. Cumberland Medical Center 421 South Main Street Crossville, TN 38555
- 4. Calisher and Associates, Inc.
 Paracorp Incorporated
 Ste B
 992 Davidson Drive
 Nashville, TN 37205

Calisher & Associates, Inc. Ste 115 555 Corporate Drive Ladera Ranch, CA 92694

Case 1:13-md-02419-RWZ Document 2643-2 Filed 02/10/16 Page 28 of 51

Case 1:13-cv-12580-RWZ Document 28-3 Filed 11/04/15 Page 28 of 51

Branstetter, Stranch & Jennings, PLLC

ATTORNEYS AT LAW 227 SECOND AVENUE NORTH FOURTH FLOOR

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JAMES G. STRANCH, III
J. GERARD STRANCH, IV

MICHAEL J. WALL

Nashville, Tennessee 37201-1631 Telephone (615) 254-8801 ~ Facsimile (615) 250-3937 ASSOCIATES: RAQUEL L, BELLAMY KARLA M. CAMPBELL BEN GASTEL* SEAMUS T. KELLY

OF COUNSEL:
ROBERT E. RICHARDSON, JR. ***

* ALSO ADMITTED IN GA
** ONLY ADMITTED IN OH

March 24, 2015

CERTIFIED MAIL, RETURN RECEIPT REQUESTED

Kenneth R Lister, M.D. Outpatient Anesthesia 2761 Sullins Street Knoxville, TN 37919

Kenneth R. Lister, M.D. c/o Chris J. Tardio Gideon Cooper & Essary 315 Deaderick St., Suite 1100 Nashville, Tennessee 37238

Re: JUDY COLLINS

Notice of health care liability claim required by Tennessee Code Annotated § 29-26-121 and Insurance Carrier Notice of Claims

To: Kenneth R. Lister M.D:

Branstetter, Stranch & Jennings, PLLC; Aylstock, Witkin, Kreis & Overholtz, PLLC; Foote, Meyers, Mielke & Flowers, LLC and Sugarman, Rogers, Barshak & Cohen, P.C. are the attorneys representing Judy Collins. Through her attorney, Judy Collins is asserting potential claims for health care liability against Kenneth R. Lister, M.D., Specialty Surgery Center, PLLC, Jean Atkinson, R.N. and Calisher & Associates, Inc., including their agents, employees, physicians, nurses and pharmacists.

This potential claim arises out of care, medicines and services provided by Kenneth R. Lister M.D. and/or employees and/or agents of Kenneth R. Lister, M.D. to Judy Collins from May 2012 through September 2012.

The full name and date of birth of the patient whose treatment is at issue:

JUDY COLLINS
Date of Birth: 11/17/1959

Case 1:13-md-02419-RWZ Document 2643-2 Filed 02/10/16 Page 29 of 51

Case 1:13-cv-12580-RWZ Document 28-3 Filed 11/04/15 Page 29 of 51

Kenneth R. Lister M.D March 24, 2015 Page 2

The names and address of the claimants authorizing this notice:

Judy Collins 734 Sequoia Drive Crossville, TN 38572

The name and address of the attorney sending this notice:

BENJAMIN A. GASTEL BRANSTETTER, STRANCH & JENNINGS, PLLC 227 Second Avenue North, 4th Floor Nashville, Tennessee 37201

Additionally I am writing to place Kenneth R. Lister M.D. on notice of claims by Judy Collins who has suffered personal injury and has incurred medical and other expenses as a result of receiving drugs that were compounded by New England Compounding Pharmacy, Inc. d/b/a New England Compounding Center. Judy Collins hereby asserts claims for product liability, negligence, breach of warranty, and misrepresentation associated with such drugs and the treatment received. Please promptly provide a copy of this notice to all carriers who may potentially provide you with insurance coverage for these claims.

Attached hereto is a list of all healthcare providers to whom notice is being given, pursuant to Tennessee Code Annotated § 29-26-121(a)(2)(D).

Pursuant to Tennessee Code Annotated § 29-26-121(a)(2)(E), I also enclose a HIPAA compliant medical authorization permitting you to obtain complete medical records on Judy Collins, from each provider being sent a notice. If any of those providers do not accept this authorization for any reason, please contact us and we will arrange to execute an authorization acceptable to them that will permit you to obtain complete medical records on Judy Collins.

Neither this notice nor the medical authorization waives the common law physicianpatient privilege concerning the care and treatment of Judy Collins by any doctor who provided medical services for Judy Collins. We expect that you will not communicate with any person, other than your attorney, about any doctor's care and treatment of Judy Collins.

We believe this letter complies with the letter and spirit of Tennessee Code Annotated § 29-26-121. If you believe it is deficient in any way, please let us know and any defect will be promptly cured. If we do not hear from you, we will assume that you agree the letter complies with the law.

Case 1:13-md-02419-RWZ Document 2643-2 Filed 02/10/16 Page 30 of 51

Case 1:13-cv-12580-RWZ Document 28-3 Filed 11/04/15 Page 30 of 51

Kenneth R. Lister M.D March 24, 2015 Page 3

Very truly yours,

BENJAMIN A. GASTEL

Case 1:13-cv-12580-RWZ Document 28-3 Filed 11/04/15 Page 31 of 51

LIMITED AUTHORIZATION TO DISCLOSE MEDICAL INFORMATION OR RECORDS

By signing below, I hereby request and authorize the Health Care Provider identified below to disclose certain information (the "Information") as provided in this Authorization.

Information To Be Used Or Disclosed
Patient Name: Judy Collins Patient Identifier: DOB: 11/17/1959
Description of Information: Any and all medical information and records, or true and correct copies thereof, in your possession, custody or control, including, but not limited to, medical histories, records, reports, summaries, diagnosis, prognoses, records of treatment and medication ordered and/or given, entries, letters or correspondence to other physicians, electrocardiograms, x-ray films and reports, ultrasounds, diagnostic imaging studies, laboratory data and records, pathological reports, slides and specimens, prescription records, insurance records, bills or statements of account, incident reports, birth certificates, death certificates and all other written or graphic data prepared, kept, made or maintained in your possession, custody or control and summaries of injuries, treatment and prognosis, if requested, that pertain to the Patient. THIS AUTHORIZATION DOES NOT AUTHORIZE VERBAL COMMUNICATIONS WITH THE REFERENCED INDIVIDUAL OR ORGANIZATION.
Persons Or Organizations Authorized To Disclose The Information
Health Care Provider. Specialty Surgery Center, Kenneth Lister, Team INCOS on, Calish Lot Associates, Inc.
I authorize the Health Care Provider(s) and its employees and agents to disclose the Information as provided in this Authorization. A photostatic copy of this Authorization is to be considered as effective as the original. I understand that I am not required to sign this Authorization. The Health Care Provider will not condition treatment, payment, enrollment or eligibility for benefits on whether I sign this Authorization.
Persons or Organizations Authorized to Receive the Information Specialty Surgery Center and/or Kenneth Lister, or any representative, attorney or investigator from said organization or person.
Purpose of the Requested Use or Disclosure
Legal
Expiration and Revocation of This Authorization
Expiration Date or Event: 3 70/15
I understand that I may revoke this Authorization at any time prior to the expiration date or event, but that my revocation will not have any affect on actions taken by the Health Care Provider, its employees or agents before they received my revocation. Should I desire to revoke this Authorization, I must send written notice to the Health Care Provider at the following address:
I understand that I may see and copy the Information if I ask for it. I understand that any information released may be subject to re-disclosure by the recipient and may no longer be protected by federal or state privacy law or regulations. 1-12-13
Signature (Authorized Representative) Date
Signature (Witness) Relationship to Patient

Case 1:13-cv-12580-RWZ Document 28-3 Filed 11/04/15 Page 32 of 51

List of Healthcare Providers- Judy Collins

1. Kenneth R. Lister, M.D. Outpatient Anesthesia 2761 Sullins Street Knoxville, TN 37919

Kenneth R. Lister, M.D. c/o Chris J. Tardio Gideon Cooper & Essary 315 Deaderick St., Suite 1100 Nashville, Tennessee 37238

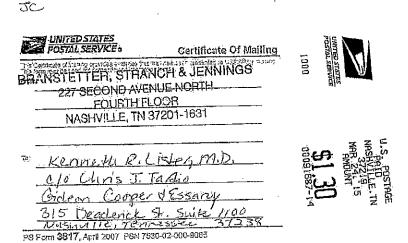
Location where injection received: Specialty Surgery Center, PLLC 116 Brown Avenue Crossville, TN 38555

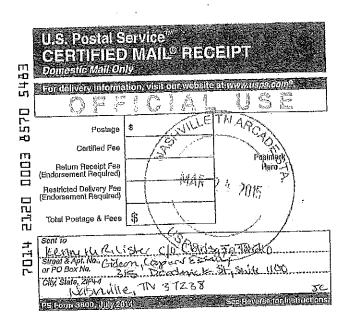
- 2. Specialty Surgery Center, PLLC c/o Chris J. Tardio Gideon Cooper & Essary 315 Deaderick St., Suite 1100 Nashville, Tennessee 37238
- 3. Jean Atkinson, R.N. Cumberland Medical Center 421 South Main Street Crossville, TN 38555
- 4. Calisher and Associates, Inc. Paracorp incorporated Ste B 992 Davidson Drive Nashville, TN 37205

Calisher & Associates, Inc. Ste 115 555 Corporate Drive Ladera Ranch, CA 92694

Case 1:13-md-02419-RWZ Document 2643-2 Filed 02/10/16 Page 33 of 51

Case 1:13-cv-12580-RWZ Document 28-3 Filed 11/04/15 Page 33 of 51





Case 1:13-md-02419-RWZ Document 2643-2 Filed 02/10/16 Page 34 of 51

Case 1:13-cv-12580-RWZ Document 28-3 Filed 11/04/15 Page 34 of 51

PS Form **3817**, April 2007 PSN 7530-02-000-9065

JC

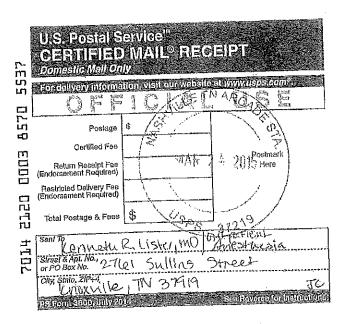
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Case 1:13-md-02419-RWZ Document 2643-2 Filed 02/10/16 Page 35 of 51

Case 1:13-cv-12580-RWZ Document 28-3 Filed 11/04/15 Page 35 of 51

PS Form 3817, April 2007 PSN 7530-02-000-9065

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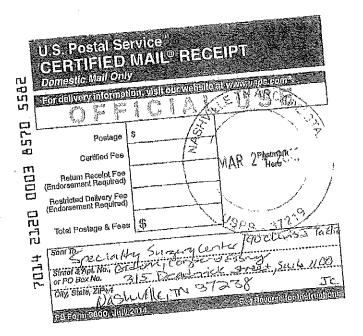
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Case 1:13-md-02419-RWZ Document 2643-2 Filed 02/10/16 Page 36 of 51

Case 1:13-cv-12580-RWZ Document 28-3 Filed 11/04/15 Page 36 of 51

PS Form 3817, April 2007 PSN 7630-02-000-3065

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Case 1:13-md-02419-RWZ Document 2643-2 Filed 02/10/16 Page 37 of 51

Case 1:13-cv-12580-RWZ Document 28-3 Filed 11/04/15 Page 37 of 51

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POSTAL SERVICES

Certificate Of Mailing

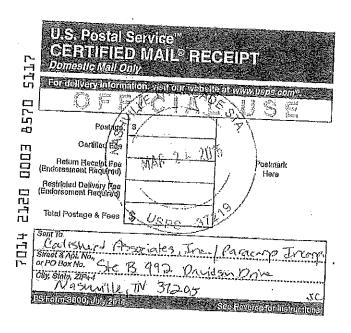
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Case 1:13-md-02419-RWZ Document 2643-2 Filed 02/10/16 Page 38 of 51

Case 1:13-cv-12580-RWZ Document 28-3 Filed 11/04/15 Page 38 of 51

PS Fcm 3817, April 2007 PSN 7530-02-300-8065

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U.S. Postal Service

CERTIFIED MAIL® RECEIPT

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Cartified Pee

(Endorsement Required)

Restricted Delivery Fee (Endorsement Required)

Total Postage & Fees

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Case 1:13-cv-12580-RWZ Document 28-3 Filed 11/04/15 Page 39 of 51

BRANSTETTER, STRANCH & JENNINGS, PLLC

ATTORNEYS AT LAW 227 SECOND AVENUE NORTH FOURTH FLOOR

CECIL D. BRANSTETTER, SR., 1920-2014 R. JAN JENNINGS * JOE P. LENISKI, JR., DONALD L. SCHOLES MIKE STEWART JAMES G. STRANCH, III J. GERARD STRANCH, IV MICHAEL (. WALL

NASHVILLE, TENNESSEE 37201-1631 TELEPHONE: (615) 254-8801 - FACSIMILE: (615) 250-3937

ASSOCIATES: RAQUEL L. BELLAMY KARLA M. CAMPBELL BEN GASTEL * SEAMUS T. KELLY K. GRACE STRANCH

OF COUNSEL: ROBERT E RICHARDSON, JR. **

April 7, 2015

ALSO ADMITTED IN GA

** ONLY ADMITTED IN OH

CERTIFIED MAIL, RETURN RECEIPT REQUESTED

Kenneth R Lister, M.D. 116 Brown Ave Crossville, TN 38555

> **JUDY COLLINS** Re:

Notice of health care liability claim required by Tennessee Code Annotated § 29-26-121 and Insurance Carrier Notice of Claims

Kenneth R. Lister M.D: To:

Branstetter, Stranch & Jennings, PLLC; Aylstock, Witkin, Kreis & Overholtz, PLLC; Foote, Meyers, Mielke & Flowers, LLC and Sugarman, Rogers, Barshak & Cohen, P.C. are the attorneys representing Judy Collins. Through her attorney, Judy Collins is asserting potential claims for health care liability against Kenneth R. Lister, M.D., Specialty Surgery Center, PLLC, Jean Atkinson, R.N. and Calisher & Associates, Inc., including their agents, employees, physicians, nurses and pharmacists.

This potential claim arises out of care, medicines and services provided by Kenneth R. Lister M.D. and/or employees and/or agents of Kenneth R. Lister, M.D. to Judy Collins from May 2012 through September 2012.

The full name and date of birth of the patient whose treatment is at issue:

JUDY COLLINS

Date of Birth: 11/17/1959

The names and address of the claimants authorizing this notice:

Judy Collins 734 Sequoia Drive Crossville, TN 38572

The name and address of the attorney sending this notice:

Case 1:13-md-02419-RWZ Document 2643-2 Filed 02/10/16 Page 40 of 51

Case 1:13-cv-12580-RWZ Document 28-3 Filed 11/04/15 Page 40 of 51

Kenneth R. Lister M.D April 7, 2015 Page 2

> BENJAMIN A. GASTEL BRANSTETTER, STRANCH & JENNINGS, PLLC 227 Second Avenue North, 4th Floor Nashville, Tennessee 37201

Additionally I am writing to place Kenneth R. Lister M.D. on notice of claims by Judy Collins who has suffered personal injury and has incurred medical and other expenses as a result of receiving drugs that were compounded by New England Compounding Pharmacy, Inc. d/b/a New England Compounding Center. Judy Collins hereby asserts claims for product liability, negligence, breach of warranty, and misrepresentation associated with such drugs and the treatment received. Please promptly provide a copy of this notice to all carriers who may potentially provide you with insurance coverage for these claims.

Attached hereto is a list of all healthcare providers to whom notice is being given, pursuant to Tennessee Code Annotated § 29-26-121(a)(2)(D).

Pursuant to Tennessee Code Annotated § 29-26-121(a)(2)(E), I also enclose a HIPAA compliant medical authorization permitting you to obtain complete medical records on Judy Collins, from each provider being sent a notice. If any of those providers do not accept this authorization for any reason, please contact us and we will arrange to execute an authorization acceptable to them that will permit you to obtain complete medical records on Judy Collins.

Neither this notice nor the medical authorization waives the common law physicianpatient privilege concerning the care and treatment of Judy Collins by any doctor who provided medical services for Judy Collins. We expect that you will not communicate with any person, other than your attorney, about any doctor's care and treatment of Judy Collins.

We believe this letter complies with the letter and spirit of Tennessee Code Annotated § 29-26-121. If you believe it is deficient in any way, please let us know and any defect will be promptly cured. If we do not hear from you, we will assume that you agree the letter complies with the law.

Case 1:13-md-02419-RWZ Document 2643-2 Filed 02/10/16 Page 41 of 51

Case 1:13-cv-12580-RWZ Document 28-3 Filed 11/04/15 Page 41 of 51

Kenneth R. Lister, M.D. April 7, 2015 Page 3

Very truly yours,

BENJAMIN A. GASTEL

Enclosures

Case 1:13-cv-12580-RWZ Document 28-3 Filed 11/04/15 Page 42 of 51

LIMITED AUTHORIZATION TO DISCLOSE MEDICAL INFORMATION OR RECORDS

By signing below, I hereby request and authorize the Health Care Provider identified below to disclose certain information (the "Information") as provided in this Authorization. Information To Be Used Or Disclosed Patient Identifier: DOB: 11/17/1959 Patient Name: Judy Collins Description of Information: Any and all medical information and records, or true and correct copies thereof, in your possession, custody or control, including, but not limited to, medical histories, records, reports, summaries, diagnosis, prognoses, records of treatment and medication ordered and/or given, entries, letters or correspondence to other physicians, electrocardiograms, x-ray films and reports, ultrasounds, diagnostic imaging studies, laboratory data and records, pathological reports, slides and specimens, prescription records, insurance records, bills or statements of account, incident reports, birth certificates, death certificates and all other written or graphic data prepared, kept, made or maintained in your possession, custody or control and summaries of injuries, treatment and prognosis, if requested, that pertain to the Patient. THIS AUTHORIZATION DOES NOT AUTHORIZE VERBAL COMMUNICATIONS WITH THE REFERENCED INDIVIDUAL OR ORGANIZATION. Persons Or Organizations Authorized To Disclose The Information Health Care Provider: Specialty Surgery Center, Kenneth Lister, Teary MY, Servi, Califold, Alexander, Teary I authorize the Health Care Provider(s) and its employees and agents to disclose the Information as provided in this Authorization. A photostatic copy of this Authorization is to be considered as effective as the original. I understand that I am not required to sign this Authorization. The Health Care Provider will not condition treatment, payment, enrollment or eligibility for benefits on whether I sign this Authorization. Persons or Organizations Authorized to Receive the Information

Specialty Surgery Center and/or Kenneth Lister, or any representative, attorney or investigator from said organization or person. Purpose of the Requested Use or Disclosure Expiration and Revocation of This Authorization 3/20/15 Expiration Date or Event: __ I understand that I may revoke this Authorization at any time prior to the expiration date or event, but that my revocation

following address:			
subject to re-disclosure by the	nd copy the information recipient and may no k	if I ask for it. I understand that any Information released manger be protected by federal or state privacy law or regulation	ay be ns.
Signatur (Patient)	Date	Signature (Authorized Representative) Date	
Signature (Witness)		Relationship to Patient	

will not have any affect on actions taken by the Health Care Provider, its employees or agents before they received my revocation. Should I desire to revoke this Authorization, I must send written notice to the Health Care Provider at the

Case 1:13-cv-12580-RWZ Document 28-3 Filed 11/04/15 Page 43 of 51

List of Healthcare Providers- Judy Collins

1. Kenneth R. Lister, M.D. Outpatient Anesthesia 2761 Sullins Street Knoxville, TN 37919

Kenneth R. Lister, M.D. c/o Chris J. Tardio Gideon Cooper & Essary 315 Deaderick St., Suite 1100 Nashville, Tennessee 37238

Location where injection received: Specialty Surgery Center, PLLC 116 Brown Avenue Crossville, TN 38555

- 2. Specialty Surgery Center, PLLC c/o Chris J. Tardio Gideon Cooper & Essary 315 Deaderick St., Suite 1100 Nashville, Tennessee 37238
- 3. Jean Atkinson, R.N. Cumberland Medical Center 421 South Main Street Crossville, TN 38555
- 4. Calisher and Associates, inc. Paracorp incorporated Ste B 992 Davidson Drive Nashville, TN 37205

Calisher & Associates, Inc. Ste 115 555 Corporate Drive Ladera Ranch, CA 92694

Case 1:13-md-02419-RWZ Document 2643-2 Filed 02/10/16 Page 44 of 51

Case 1:13-cv-12580-RWZ Document 28-3 Filed 11/04/15 Page 44 of 51

BRANSTETTER, STRANCH & JENNINGS, PLLC

ATTORNEYS AT LAW

227 SECOND AVENUE NORTH FOURTH FLOOR

NASHVILLE, TENNESSEE 37201-1631

TELEPHONE (615) 254-8801 ~ FACSIMILE (615) 250-3937

ASSOCIATES: RAQUEL L. BELLAMY KARLA M. CAMPBELL BEN GASTEL * SEAMUS T. KELLY

K. GRACE STRANCH

OF COUNSEL:

ROBERT E RICHARDSON, JR. 49

ALSO ADMITTED IN GA " ONLY ADMITTED IN OH

April 7, 2015

CERTIFIED MAIL, RETURN RECEIPT REQUESTED

Specialty Surgery Center, PLLC 116 Brown Ave Crossville, TN 38555

Re:

CECIL D. BRANSTETTER, SR., 1920-2014

R. JAN JENNINGS *

JOE P. LENISKI, JR. DONALD L. SCHOLES

MIKE STEWART

MICHAEL J. WALL

JAMES G. STRANCH, III J, GERARD STRANCH, IV

JUDY COLLINS

Notice of health care liability claim required by Tennessee Code Annotated § 29-26-121 and Insurance Carrier Notice of Claims

Specialty Surgery Center, PLLC: To:

Branstetter, Stranch & Jennings, PLLC; Aylstock, Witkin, Kreis & Overholtz, PLLC; Foote, Meyers, Mielke & Flowers, LLC and Sugarman, Rogers, Barshak & Cohen, P.C. are the attorneys representing Judy Collins. Through her attorney, Judy Collins is asserting potential claims for health care liability against Kenneth R. Lister, M.D., Specialty Surgery Center, PLLC, Jean Atkinson, R.N. and Calisher & Associates, Inc., including their agents, employees, physicians, nurses and pharmacists.

This potential claim arises out of care, medicines and services provided by employees and/or agents of Specialty Surgery Center, PLLC to Judy Collins from May 2012 through September 2012.

The full name and date of birth of the patient whose treatment is at issue:

JUDY COLLINS

Date of Birth: 11/17/1959

The names and address of the claimants authorizing this notice:

Judy Collins

734 Seguoia Drive Crossville, TN 38572

Case 1:13-cv-12580-RWZ Document 28-3 Filed 11/04/15 Page 45 of 51

Specialty Surgery Center, PLLC April 7, 2015 Page 2

The name and address of the attorney sending this notice:

BENJAMIN A. GASTEL BRANSTETTER, STRANCH & JENNINGS, PLLC 227 Second Avenue North, 4th Floor Nashville, Tennessee 37201

Additionally I am writing to place Specialty Surgery Center, PLLC on notice of claims by Judy Collins who has suffered personal injury and has incurred medical and other expenses as a result of receiving drugs that were compounded by New England Compounding Pharmacy, Inc. d/b/a New England Compounding Center. Judy Collins hereby asserts claims for product liability, negligence, breach of warranty, and misrepresentation associated with such drugs and the treatment received. Please promptly provide a copy of this notice to all carriers who may potentially provide you with insurance coverage for these claims.

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Case 1:13-md-02419-RWZ Document 2643-2 Filed 02/10/16 Page 46 of 51

Case 1:13-cv-12580-RWZ Document 28-3 Filed 11/04/15 Page 46 of 51

Specialty Surgery Center, PLLC April 7, 2015 Page 3

Very truly yours,

BENJAMIN A. GASTEL

Enclosures

Case 1:13-cv-12580-RWZ Document 28-3 Filed 11/04/15 Page 47 of 51

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By signing below, I hereby request and authorize the Health Care Provider identified below to disclose certain information (the "Information") as provided in this Authorization.

Information To Be Used Or Disclosed
Patient Name: Judy Collins Patient Identifier: DOB: 11/17/1959
Description of Information: Any and all medical information and records, or true and correct copies thereof, in your dossession, custody or control, including, but not limited to, medical histories, records, reports, summaries, diagnosis, prognoses, records of treatment and medication ordered and/or given, entries, letters or correspondence to other physicians, electrocardiograms, x-ray films and reports, ultrasounds, diagnostic imaging studies, laboratory data and records, pathological reports, slides and specimens, prescription records, insurance records, bills or statements of account, incident reports, birth certificates, death certificates and all other written or graphic data prepared, kept, made account, incident reports, birth certificates, death certificates and all other written or graphic data prepared, kept, made or maintained in your possession, custody or control and summaries of injuries, treatment and prognosis, if requested, that pertain to the Patient. THIS AUTHORIZATION DOES NOT AUTHORIZE VERBAL COMMUNICATIONS WITH THE REFERENCED INDIVIDUAL OR ORGANIZATION.
Persons Or Organizations Authorized To Disclose The Information
Health Care Provider: Specially Surgery Center, Kenneth Lister, Search Kryssen, Canada, et as and as
I authorize the Health Care Provider(s) and its employees and agents to disclose the Information as provided in this Authorization. A photostatic copy of this Authorization is to be considered as effective as the original. I understand that I am not required to sign this Authorization. The Health Care Provider will not condition treatment, payment, enrollment or eligibility for benefits on whether I sign this Authorization.
Persons or Organizations Authorized to Receive the Information
Persons or Organizations Authorized to Receive the Information Sean ATLING CAIGNA PERSON FROM Said organization or Specialty Surgery Center and/or Kenneth Lister or any representative, attorney or investigator from said organization or person.
Purpose of the Requested Use or Disclosure
1.650
Expiration and Revocation of This Authorization Expiration Date or Event: 3 5 5 15 I understand that I may revoke this Authorization at any time prior to the expiration date or event, but that my revocation will not have any affect on actions taken by the Health Care Provider, its employees or agents before they received my revocation. Should I desire to revoke this Authorization, I must send written notice to the Health Care Provider at the following address:
i understand that I may see and copy the Information if I ask for it. I understand that any Information released may be subject to re-disclosure by the recipient and may no longer be protected by federal or state privacy law or regulations. 1
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Signature (Witness) Relationship to Patient

Case 1:13-cv-12580-RWZ Document 28-3 Filed 11/04/15 Page 48 of 51

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Case 1:13-md-02419-RWZ Document 2643-2 Filed 02/10/16 Page 49 of 51

Case 1:13-cv-12580-RWZ Document 28-3 Filed 11/04/15 Page 49 of 51

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Case 1:13-md-02419-RWZ Document 2643-2 Filed 02/10/16 Page 50 of 51

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Case 1:13-md-02419-RWZ Document 2643-2 Filed 02/10/16 Page 51 of 51

Case 1:13-cv-12580-RWZ Document 28-3 Filed 11/04/15 Page 51 of 51

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